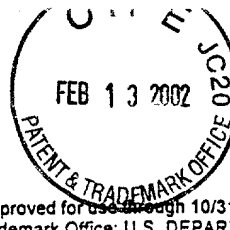


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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/976,221
Filing Date	October 12, 2001
First Named Inventor	Ulrich Zimmermann
Group Art Unit	1712
Examiner Name	Not yet assigned
Attorney Docket Number	414P037Div.

Total Number of Pages in This Submission

21

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - Copy of Notice to file corrected application papers dated 11/28/01
 - Substitute Specification (18-Pages).

Remarks

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Kevin S. Lemack
Niels & Lemack

Signature

Date

December 14, 2001

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Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ulrich Zimmermann
Serial No. : 09/976,221
Filed : October 12, 2001
For : **CROSSLINKING IONOTROPIC GELS**
Examiner : Not yet assigned
Art Unit : 1712
Attorney
Docket No. : 414P037Div.

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Attention: Box Missing Parts

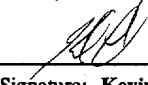
Sir:

The "Notice to File Corrected Application Papers - Filing Date Granted" dated November 28, 2001 (copy of which is attached hereto for the convenience of the Application Branch) has been received. In response thereto there are filed herewith the following items:

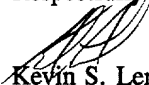
1. Substitute Specification (18-Sheets).

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account **14-0930**. A duplicate copy of this sheet is attached.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231, on **December 14, 2001**


Signature: **Kevin S. Lemack**
Date: **December 14, 2001**

Respectfully submitted,


Kevin S. Lemack
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20061201 12260600

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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,221	10/12/2001	Ulrich Zimmermann	414P037Div

CONFIRMATION NO. 9837

FORMALITIES LETTER



OC000000007130824

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Niels & Lemack
176 E. Main Street
Westboro, MA 01581

Date Mailed: 11/28/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

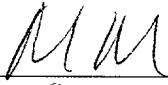
Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*


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